

Van Der Waerten's Theorem

Given k and l , there exists an $n(k,l)$ such that any string S of k different colors contains a single-color arithmetic progression (AP) of length l if $|S| > n(k,l)$.

Overview:

Van Der Waerten's key contribution was the idea of an inductive proof where we view the blocks of colors created at step $k-1$ as a single color at step k . We can create a constructive proof with this idea, but it does not yield particularly good bounds for the VDW numbers (which are defined as the lowest $n(k,l)$ that satisfies the above statement).

A key idea in the proof we'll call Lemma 1:

Lemma 1:

Assume $B [i_1 i_2 \dots i_s] = B [j_1 j_2 \dots j_s]$ are two blocks of colors with 'sub-blocks' that are defined down $k-s$ levels in the same positions in both blocks, (with the $k-s^{\text{th}}$ level block having size 1 – e.g. being a single color). ($s < k$)

Then for any arbitrary indices $i_{s+1}, i_{s+2}, \dots, i_k$ taken from $[1, l+1]$

the color $B [i_1 i_2 \dots i_s] i_{s+1} i_{s+2} \dots i_k$ is in the same position of the block $B [i_1 i_2 \dots i_s]$

that the color $B [j_1 j_2 \dots j_s] i_{s+1} i_{s+2} \dots i_k$ is in the block $B [j_1 j_2 \dots j_s]$

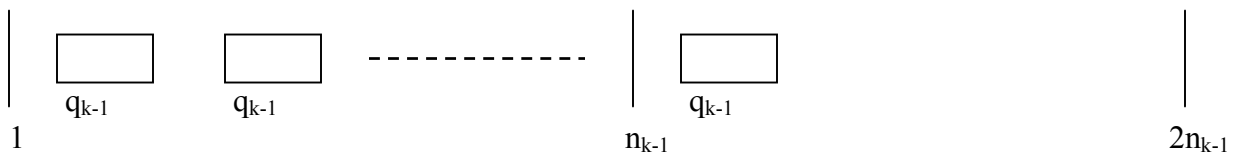
Since we know the two outer blocks are equal, the two colors are equal.

Proof of Van Der Waerten's Theorem:

Proof by induction on l . Assume $n(k,l)$ exists for all k . Show $n(k, l+1)$ exists for all $k \geq 2$

Base case: $l=2$. $n(k,2) = k+1$ for all k .

Inductive step: We take $n(k,l+1) = 2 n_{k-1} q_{k-1}$ (n_x and q_x are defined below) for any given k .



We take our string of colors and group them into $2n_{k-1}$ blocks, each of size q_{k-1} .

Note there are $k^{q_{k-1}}$ different combinations for these blocks. Consider all these different possible blocks as colors themselves.

So by our inductive hypothesis, we have an l -length AP of these blocks in the left half (the first n_{k-1} blocks), where $n_{k-1} = n(k^{q_{k-1}}, l)$. Also note the $(l+1)^{\text{th}}$ block in this AP has to fall in the above string.

Then, since each of the blocks in the AP are of length $n(x,l)$ (for some x), we know an l -length arithmetic progression occurs in them, and also that the $(l+1)^{\text{th}}$ block in those APs appears in them too, exactly as before.

We simply apply this construction k times. Note the length of the blocks goes from $q_{k-1} \rightarrow q_{k-2} \rightarrow \dots \rightarrow q_0$ where the values are recursively defined as follows:

$$\begin{aligned} q_0 &= 1 & n_0 &= n(k, l) \\ q_1 &= 2n_0q_0 & n_1 &= n(k^{q_1}, l) \\ q_2 &= 2n_1q_1 & n_2 &= n(k^{q_2}, l) \\ &\dots & & \\ q_k &= 2n_kq_k & n_k &= n(k^{q_k}, l) \end{aligned}$$

Intuition:

By Lemma 1, we can show that:

$$\begin{aligned} &B_{11\dots 1 \ 1111 \ l' \dots l'} \\ &B_{11\dots 1 \ 2222 \ l' \dots l'} \\ &B_{11\dots 1 \ 3333 \ l' \dots l'} \\ &\dots \\ &B_{11\dots 1 \ llll \ l' \dots l'} \end{aligned} \quad \text{are the same colors} \quad (\text{where } l' = l+1)$$

(We know that $B_{11\dots 1 \ 11} = B_{11\dots 1 \ 22}$ since they are part of an AP at one of the levels.

Also, $B_{11\dots 1 \ 11} = B_{11\dots 1 \ 12}$ for the same reason, and $B_{11\dots 1 \ 12} = B_{11\dots 1 \ 22}$ by Lemma 1, etc).

So we can make an l -length AP this way. Now we need to consider the color:

$$B_{11\dots 1 \ l' l' l' \ l' \dots l'} \quad \text{which is certainly not guaranteed to be the same color as above.}$$

Note we can define $k+1$ colors this way:

$$\begin{aligned} a_0 &= B_{l' l' l' l' \dots l'} \\ a_1 &= B_{1 \ l' l' l' \dots l'} \\ a_2 &= B_{11 \ l' l' l' \dots l'} \\ &\dots \\ a_{k+1} &= B_{111111 \dots 1} \end{aligned}$$

Since we only have k colors, there exists r, s ($r < s$) such that:

$$B_{1\dots 1 \ l' \dots l'} \quad (r) \quad (k-r) = B_{1\dots 1 \ l' \dots l'} \quad (s) \quad (k-s)$$

Now we can look at the $l+1$ colors as we did above:

$$B_{1\dots 1 \ i \dots i \ l' \dots l'} \quad (r) \quad (s-r) \quad (k-s) \quad (1 \leq i \leq l')$$

As we showed above, the first l of these colors are the same by Lemma 1. And since the l' th color is the same as the 1st color by our choice of s and r , we have an AP of length $l+l'$.

The only thing left to show is that these colors are indeed equally spaced from their neighbors.

For any c_j , ($j < l'$), let $c_k = c_{j+1}$

$$c_j = B_{\substack{1 \dots 1 \\ (r)}} \substack{j \dots j \\ (s-r)} \substack{l' \dots l' \\ (k-s)}$$

$$c_k = B_{\substack{1 \dots 1 \\ (r)}} \substack{k \dots k \\ (s-r)} \substack{l' \dots l' \\ (k-s)}$$

Note that the distance $d_{r+1} = d(B_{\substack{1 \dots 1 \\ (r)}} \substack{j \dots j \\ (s-r)}, B_{\substack{1 \dots 1 \\ (r)}} \substack{k \dots k \\ (s-r)})$ does not depend on our choice of j
 (it depends on the 'hop size' of the AP at step $r+1$).

Likewise the $d_{r+2} = d(B_{\substack{1 \dots 1 \\ (r+1)}} \substack{j \dots j \\ (s-r-1)}, B_{\substack{1 \dots 1 \\ (r+1)}} \substack{k \dots k \\ (s-r-1)})$ depends on the hop sizes at steps $r+1$ and $r+2$.

So $d(c_j, c_k) = d_{r+1} + d_{r+2} + \dots + d_s$ which is independent of our choice of j . ■

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